

ARENDR BY YOUR SIDE

Smooth sailing with DORA compliance with Arendt

The Digital Operational Resilience Act ("DORA") came into application on 17 January 2025, marking a turning point in how financial entities address operational resilience and cybersecurity standards. Now that DORA is live, those affected must realise that compliance is an ongoing process, not just a one-off.

Thus, clearly defined roles and responsibilities, with precise planning and execution of necessary activities, are vital. To ease this new regulatory burden, Arendt has a range of services to assist clients on either an ongoing or ad hoc basis.

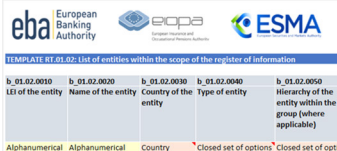
Our DORA IT solutions

Register of Information (RoI) maintenance:

RoI must be submitted to competent authorities annually, RoI specifications are particularly complex and require significant collection work.

The RoI must be maintained regularly and submitted to the competent authorities under a specific structure. We advise on the required content, handle the data entry in our RoI IT tool and generate the required CSV files to ensure timely and accurate submission to competent authorities.

Coverage of our RoI IT tool



- Compliance with ESA ITS and RTS at all times
- With auditing functionalities
- Enriched with business data for enhanced use

Delivery model



- Simplified
- Centralized
- Automated
- Error free
- Logic

↓ one-click generation

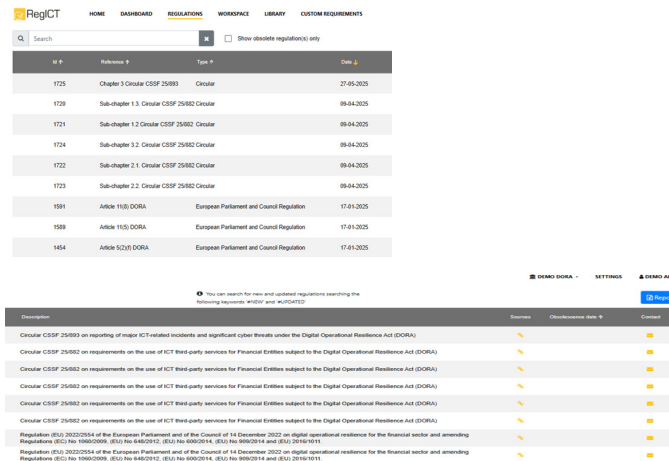


- All CSV files in one folder
- Ready to be filed with the authorities

RegICT solution:

DORA requires that you closely monitor – on an ongoing basis – the timely and proper carrying out of the various DORA periodic activities, whether monthly, quarterly, yearly or following major changes. A DORA Control Plan must therefore be established to initiate that monitoring with a sufficient level of precision.

We can put at your disposal our "RegICT solution" module to help you monitor and manage DORA and regulatory IT-related periodic activities in a centralised way, allocate responsibilities between the different teams (conducting officer IT, IT officer, ICT risk, IT third party), through a user-friendly calendar view and dashboards summarising status and metrics tracking regarding DORA (and relevant CSSF circulars) requirements.



Our managed services

■ ICT risk management support:

The new DORA control function for managing and overseeing ICT risk may not be easy to allocate internally.

We provide support with all relevant practical tasks, such as designing or reviewing ICT risk management frameworks (e.g. inventory of ICT assets, ICT risk appetite, ICT and information security objectives, KPIs and KRIs, carrying out IT risk assessments), drafting the annual ICT risk management framework report and Management Committee and Board reporting as required. In doing so, you benefit from our strong and extensive combination of regulatory and ICT knowledge.

■ ICT third-party management support:

DORA means increased documentation and analysis for both onboarding and monitoring ICT third-party providers.

We help you incorporate this considerable workload by drafting / updating criticality assessments and (prior) risk assessments, conducting / reviewing due diligence questionnaires; preparing / updating exit plans. In addition, we help you align and negotiate ICT third-party contracts with DORA requirements maintenance with the support of our lawyers.

■ ICT-related incident support:

ICT-related incident management under DORA requires the ability to react and quickly address classification and when needed notification requirements to the competent authorities within the prescribed deadline and format.

We can provide advice on and handle all aspects of your ICT incident classification analysis and reporting to the competent authorities.

■ Documentary alignment:

We help you to rectify any shortcomings identified in your DORA contractual documentary framework (strategies, policies, procedures, inventories, etc.).

■ Compliance monitoring:

We provide you with a control monitoring plan (standard or customised) to facilitate your Compliance team's work.

■ Training and awareness:

We deliver DORA training sessions to your employees and board. The sessions can be either short or more depth, depending on your specific requirements.

DORA compliance review

Long awaited EU releases and clarifications in relation to a number of DORA definitions and requirements have caused internal discussions and hesitations, which output may need to be now reviewed.

It may be beneficial to take a step back to reassured that choices made in the course of DORA implementation are in line with the latest updates – take advantage of our expertise and market insight through our DORA compliance review service, which provides recommendations on potential shortcomings and areas of improvement that have been identified.

Based on documentary reviews and additional discussions with you, we complete our DORA compliance review grid and deliver a summary report highlighting the potentially identified weaknesses and recommendations.



Our ad hoc assistance

■ Rol hotline:

We are available to discuss and support in case of difficulties when preparing and submitting CSV files, including with regard to managing errors.

arendt is by your side

Your experts



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