

ARENDT IS BY YOUR SIDE

RC/AML Officer

Responsable du contrôle du respect des obligations
(RC) and AML - CFT Compliance Officer services

Arendt offers a comprehensive suite of services to help Luxembourg investment funds and Investment Fund Managers ensure that they meet all regulatory and legal requirements in setting up and implementing measures to meet the RC/AML-CFT Compliance Officer obligation.



Designed for

Registered IFMs, authorised IFMs, UCITS, SIFs, SICARs, RAIFs and other AIFs.

End-to-end service with rigorous process automation

1 At inception: we assist you in being compliant

- We create and supervise **AML frameworks**.
- We establish **risk appetite**.
- We handle **registration with Luxembourg authorities** (goAML, CSSF eDesk...).

Cooperation with AML /
CFT authority



2 Throughout the Fund's Life Cycle: we ensure ongoing compliance

- We **review** your **AML/CFT policy** on a regular basis.
- We conduct **annual AML/CFT risk assessments** of the company in accordance with legal and regulatory expectations.
- We provide **periodic** and **ad-hoc reports** to the management/board of directors on specific matters.
- We perform **due diligence** and **monitoring** of service providers and distributors as needed.
- We deliver **annual AML/CFT training** for relevant persons at the company.
- We prepare a **summary report on RC's activities** and operations, at least once annually.
- We serve as the **central contact** with the **Luxembourg authorities** for AML/CFT purposes.
- We answer and report to the governing body escalation received from your AML / CFT delegate.



KPI Collection

Due Dilligence
on investors



AML / CFT reporting

The added value of Arendt



All of our teams enjoy first-class AML training within the Arendt group, and can tap into group resources to offer an unparalleled breadth of legal and regulatory expertise



Our services apply proven methodologies and are delivered by a regulated Luxembourg Professional of the Financial Sector



We can provide experienced individuals to act as RC, and serve as your central point of contact for RC support services



We maintain a robust support team to assist RCs



Our digital platform can generate transparent, up-to-date reports

“Transversal legal advice, assistance and defence”

Arendt AML / CFT Services

1. Prevention

- Framework and governance review
- Risk assessment and risk-based approach
- Training and awareness programme

2. Ongoing Life Cycle

- AML/CFT compliance support and assistance (including secondment)
- Health checks and mock inspections
- MLRO/RC services
- RBE/RFT services
- AML/KYC as a managed service
- Screening and transaction monitoring assessment
- Targeted Financial Sanctions (TFS) and Targeted Proliferation Financing (TPF) assistance
- Know Your Customer, Know Your Delegate, Know Your Asset

3. Response

- AML/CFT mitigation programme
- AML/CFT remediation exercise
- Internal/Regulatory investigation
- Criminal defence



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Contact us

If you would like more information, please contact us at RCservices@arendtservices.com



Read more about our expertise