ARENDT IS BY YOUR SIDE

Smooth sailing within DORA compliance with Arendt

The Digital Operational Resilience Act (DORA) came into application on 17 January 2025, marking a turning point in how financial entities address operational resilience and cybersecurity standards. Now that DORA is live, those affected must realise that compliance is an ongoing process, not just a one-off.

Thus, clearly defined roles and responsibilities, with precise planning and execution of necessary activities, are vital. To ease this new regulatory burden, Arendt has a range of services to assist clients on either an ongoing or ad hoc basis.

Our managed services

▪ ICT risk management support:
The new DORA control function for managing and overseeing ICT risk may not be easy to allocate internally.

We provide support with all relevant practical tasks, such as designing or reviewing ICT risk management frameworks (e.g. ICT risk appetite, ICT and information security objectives, KPIs and KRIs, carrying out IT risk assessments), drafting the annual ICT risk management framework review report and Management Committee and Board reporting as required. In doing so, you benefit from our strong and extensive combination of regulatory and ICT knowledge.

▪ ICT third-party management support:
DORA means increased documentation and analysis for both onboarding and monitoring ICT third-party providers.

We help you incorporate this considerable new workload by drafting and updating criticality assessments and (prior) risk assessments, conducting and reviewing due diligence questionnaires and preparing and updating exit plans. In addition, we help you align and negotiate ICT third-party contracts with DORA requirements maintenance with the support of our lawyers.

▪ ICT-related incident support:
ICT-related incident management under DORA requires the ability to react and quickly address classification and notification requirements to the competent authorities within the prescribed deadline and format.

We can provide advice on and handle all aspects of your ICT incident classification analysis and reporting to the competent authorities.

▪ Register of information (RoI):
RoIs must be submitted to the competent authorities annually and their specifications are particularly complex and require significant collection work.
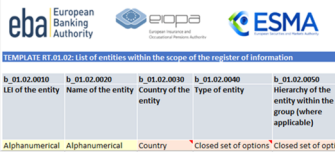
The RoI must be maintained regularly and submitted to the competent authorities under a specific structure. The technical format of the RoI is particularly complex and requires significant work. We advise on the required content, handle the data entry in our RoI IT tool and generate the required CSV files to ensure timely and accurate submission to the competent authorities.

Our DORA reporting solution

▪ Software as a Service
Easily fulfill your DORA reporting obligations using our online reporting tool.

Coverage of the report builder | Delivery model

- **Compliance** with ESA ITS and RTS **at all times**
- With **auditing** functionalities
- **Enriched** with business data for enhanced use

- ▪ Simplified
- ▪ Centralized
- ▪ Automated
- ▪ Error free
- ▪ Logic

one-click generation

CSV
- ▪ All CSV files in one folder
- ▪ Ready to be filed with the authorities

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Our ad hoc assistance

- **RoI hotline:**
We are available to discuss and provide support in the event of difficulties when preparing and submitting CSV files, including with regard to managing errors.

- **Documentary alignment:**
We help you to rectify any shortcomings identified in your DORA contractual documentary framework (strategies, policies, procedures, inventories, etc.).

- **Compliance monitoring:**
We provide you with a control monitoring plan (standard or customised) to facilitate your Compliance team's work.

- **External stakeholder request:**
We help you by providing and drafting explanations for the attention of internal auditors or competent authorities.

- **Training and awareness:**
We deliver DORA training sessions to your employees and board. These sessions can be either short or more in depth, depending on your specific requirements.

DORA compliance review

Long awaited EU releases and clarifications in relation to a number of DORA definitions and requirements have caused internal discussions and hesitations, which output may need to be now reviewed.

It may be beneficial to take a step back to reassure yourself that choices made in the course of DORA implementation are in line with the latest updates – take advantage of our expertise and market insight with our DORA compliance review service, which provides recommendations on potential shortcomings and areas of improvement that have been identified.

Based on documentary reviews and additional discussions with you, we complete our DORA compliance review grid and deliver a summary report highlighting the potentially identified weaknesses and recommendations.

arendt is by your side

Your experts

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