



Our expert

This training programme is coordinated and delivered under the supervision of Nicolas Deldime.



Target

Board members, daily managers, internal control functions, front/middle/back office persons involved in MIFID service rendered to clients.



Duration

5 hours



Languages

(multiple available)
English, French



Contact us

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MiFID 2 for IFMs with an extended licence

Objectives

Funds are MiFID financial instruments. When investment firms sell funds, MiFID rules apply. The training will help the attendees to identify and understand how the provisions of MiFID 2 apply to their company.

Content

- Understand MIFID 2 rules applicable to Investment Fund Managers authorised to render MIFID investment services, and
- Exchange on the particular implementation of those MIFID 2 rules within your organisation and on its business model, in particular in the context of CSSF's emerging supervision practice (on-site inspections) and latest related feedbacks received.

Areas covered will include the range of MIFID 2 rules, typically: client categorization, information to clients, suitability, appropriateness, inducement, product governance, reporting to clients, best execution, and record-keeping.

Since the content of discussions during the training are highly connected to the specificities of each organisation, we currently only propose this training in a bespoke format.

The training is generally organised in 2 separate sessions of approximately 2,5 hours.