

Management Information

Choose to lead rather than to endure

Data challenges

The successive financial crises of recent decades have underlined the need for financial sector actors to strengthen their governance, and the need for national authorities to strengthen their supervision. Expectations for control, documentation of decisions and record-keeping have risen over time. For those involved in asset management, this implies the management of a colossal amount of information that is not always efficiently structured or systematically processed. The absence of an effective market standard, the vast silos of information and the absence of a standardised information exchange format greatly complicate the process of information management. Consequently, market players bear significant costs in terms of the resources that must be mobilised to ensure regulatory compliance and the management of operational risk. What's more, the results that can be obtained are often sub-par.



Smart Assistant

Management Information

In order to make your life easier, Arendt Regulatory & Consulting has created a simple solution designed to relieve major pain points, and to help you comply with Management Information and delegation oversight obligations.

SAMI is a central point of access through which Management Information is administered in order to ease and support risk assessment, documentation and reporting. It is also an efficient, user friendly delegation monitoring tool.

Users can access and manage different centralised and customisable databases, while also keeping track of their delegation network.

SAMI's goal is to make company data management as easy and stress-free as possible, to ensure a monitoring process that is appropriate for the applicable rules and regulations.

- ✓ **Dashboard** – Access a complete overview of your company's organisation, including products, relationships, due diligence, incidents, contracts and risk assessment.
- ✓ **Relationships** – Manage your relationship database by identifying existing and prospect counterparties, delegates and service providers, alongside many other input parameters.
- ✓ **Products** – Complete your product database and create links between your funds / sub-funds / share classes and your relationships.
- ✓ **Distribution matrix** – Identify the countries in which your share classes are distributed to create a network overview.
- ✓ **Library** – Collect documents and upload them in SAMI to demonstrate your compliance, satisfy record-keeping requirements and establish audit trails.
- ✓ **KPIs** – List your key performance indicators per function type, and create connections with the incident database to complete your oversight programme.
- ✓ **Due diligence** – Follow up on your due diligence via streamlined processes that provide a quick and comprehensive overview of all activities underway.
- ✓ **Incidents** – Record your incidents, classify them by impact and urgency, track their progress and report potential problems.
- ✓ **Contracts** – Keep track of all your contracts and manage updates and amendments.
- ✓ **Risk scoring** – Establish risk scoring under a risk-based approach, and monitor your delegates at all times.



If you want to:

- Increase the effectiveness of data management
- Centralise data and ensure consistency
- Save time and improve your delegate oversight process
- Conduct a dynamic risk assessment of your delegates
- Facilitate reporting to management



**Contact us
now about
SAMI!**

If you need more information, please contact us at SAMI@arendt.com or visit www.arendt.com/SAMI