



Our expert

This training programme is coordinated and delivered under the supervision of Francois Warken



Target

Professionals in charge of administration, legal and compliance functions in financial institutions, other professionals of the financial sector, investment funds and buy-side firms.



4 hours



Languages (multiple available) English, French, German



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An introduction to the Market Abuse Regulation (MAR)

Objectives

The training aims to:

- provide an overview of the key concepts of the market abuse regime;
- help to recognise market abuse;
- sensitise to related obligations and prohibited behaviours including unintentional market abuse.

Content

The training covers the following content:

- Summary description of the MAR framework;
- Scope of application of the MAR (with a focus on major differences between the MAR and the repealed Market Abuse Directive);
- Inside information and insiders;
- Insider dealing and unlawful disclosure of inside information;
- Proper handling of inside information;
- Market manipulation;
- Managers' transactions;
- Investment recommendations and statistics;
- Prevention and detection of market abuse:
- Summary presentation of the market sounding regime;
- Enforcement and sanctions;
- Interaction between the MAR and the AML/CTF legislation;
- Case studies, real-life examples and best practices;
- Q&A session.