Investment Funds





This training programme is coordinated and delivered under the supervision of

Michael Daemgen



Professionals of the Investment Management Sector







institute@arendt.com +352 40 78 78 558

How to deal with conflict of interests when managing funds?

Objectives

To acquire necessary knowledge in order to prevent conflict of interests and if it does occur, to respond to such situations.

Content

In the course of this training the particpants will:

- Receive explanation on what conflicts of interests are, as well as on the legal and regulatory principles framing them, including CSSF Circular 18/698.
- Have the opportunity to apply the above knowledge to typical cases, i.e. analyse examples of potential internal conflicts.
- Learn how to anticipate and react to conflicts of interest situations (prevention and remediation measures).

Methodology

This training session is designed to be highly interactive. The idea is to work on practical situations (anonymised) and to have open discussions to share market practices.

The outcome of the workshop will therefore be two sided:

- On the one hand an alignment amongst the client's participants of the awareness and understanding of conflict of interests and
- On the other hand suggestions for improving/ extending the client's conflict of interest register as well as improving mitigating measures